SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(I	ay continue. See b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	hours per response: 0.5
,			or Section 30(h) of the Investment Company Act of 1940	
	dress of Reporting		2. Issuer Name and Ticker or Trading Symbol <u>Willdan Group, Inc.</u> [WLDN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner
(Last) (First) (Middle) 2401 EAST KATELLA AVENUE SUITE 300 (Street) ANAHEIM CA 92806			3. Date of Earliest Transaction (Month/Day/Year) 06/09/2021	X Officer (give title below) X Other (specify below) Chief Executive Officer / Chairman of the Board
		92806	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person
(City)	(State)	(Zip)	—	Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed 3. Execution Date, if any (Month/Day/Year) 8)			4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/09/2021		A		48,164 ⁽¹⁾	A	\$ <mark>0</mark>	387,605 ⁽²⁾	D	
Common Stock	06/09/2021		F		24,252 ⁽³⁾	D	\$39.27	363,353 ⁽²⁾	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(eigi, pare, cale, marane, optione, contentine countries)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Represents performance-based restricted stock units previously granted to the Reporting Person by the Issuer on June 13, 2019. The performance conditions applicable to the award were determined to have been satisfied by the Issuer's Compensation Committee on June 9, 2021, resulting in the immediate vesting of the restricted stock units as to 48,164 shares of Common Stock.
 Includes 25,000 shares of restricted stock that vest in four equal installments on each of March 9, 2022, March 9, 2023, March 9, 2024 and March 9, 2025, subject to the Reporting Person's continued

service to the Issuer through the applicable vesting date.

3. Represents shares of the Issuer's Common Stock withheld for tax withholding purposes in connection with the vesting of the performance-based restricted stock units referenced in footnote (1).

<u>/s/ Creighton K. Early,</u> <u>Attorney-in-Fact for Thomas</u> <u>06/11/2021</u> <u>D. Brisbin</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.