SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

1. Name and Address of Reporting Person* WHITELAW PAUL MILTON			2. Issuer Name and Ticker or Trading Symbol <u>Willdan Group, Inc.</u> [WLDN]	(Check	tionship of Reporting Pe (all applicable) Director Officer (give title	erson(s) to Issuer 10% Owner Other (specify
(Last) 2401 E. KATE SUITE 300	(First) LLA AVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2020	X	below) SVP, Business De	below)
(Street) ANAHEIM (City)	CA (State)	92806 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More the Person	porting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of	Acquired	l (A) or	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/02/2020		A		7 ,0 10 ⁽¹⁾	A	\$0	12,471 ⁽²⁾	D	
Common Stock	03/06/2020		F		2,545 ⁽³⁾	D	\$32.68	9,926 ⁽²⁾	D	
Common Stock	03/09/2020		F		157 ⁽⁴⁾	D	\$32.68	9,769 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cails, waitallis, op							options, t	,onvertin	16 36	cunties)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents performance-based restricted stock units previously granted to the Reporting Person by the Issuer on March 6, 2018. The performance conditions applicable to the award were determined to have been satisfied by the Issuer's Compensation Committee on March 2, 2020, resulting in the immediate vesting of the restricted stock units as to 7,010 shares of Common Stock.

2. Includes (i) 444 shares of restricted stock that vest on October 31, 2020 and (ii) an aggregate of 272 shares of Common Stock purchased under the Amended and Restated Willdam Group, Inc. 2006 Employee Stock Purchase Plan, including 128 shares on June 30, 2019 and 144 shares on December 31, 2019.

Represents shares of the Issuer's Common Stock withheld for tax withholding purposes in connection with the vesting of the performance-based restricted stock units referenced in footnote (1).
Represents shares of the Issuer's Common Stock withheld for tax withholding purposes in connection with the vesting of restricted stock on March 8, 2020, which restricted stock was granted on March 8, 2017.

<u>/s/ Stacy McLaughlin,</u> <u>Attorney-in-fact for Paul</u> <u>Milton Whitelaw</u>

03/12/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.