SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	ess of Reporting Per EIGHTON K	son*	2. Issuer Name and Ticker or Trading Symbol <u>Willdan Group, Inc.</u> [WLDN]		ationship of Reporting Per (all applicable) Director Officer (give title	rson(s) to Issuer 10% Owner Other (specify
(Last) 2401 E. KATEJ SUITE 300	401 E. KATELLA AVE		3. Date of Earliest Transaction (Month/Day/Year) 03/10/2023		below) VICE PRESIDENT	below) AND CFO
(Street) ANAHEIM (City)	CA (State)	92806 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filin Form filed by One Rep Form filed by More tha Person	orting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/10/2023		S		369(1)	D	\$15.21 ⁽²⁾	65,705 ⁽³⁾	D	
Common Stock	03/10/2023		S		328(1)	D	\$15.78 ⁽⁴⁾	65,377 ⁽³⁾	D	
Common Stock	03/10/2023		S		73 ⁽¹⁾	D	\$16.96 ⁽⁵⁾	65,304 ⁽³⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

L	(e.g., puts, cais, warants, options, convertible securities)															
	L. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares of the Issuer's Common Stock sold in the open market, the proceeds of which were used to pay the tax withholding obligations incurred upon the vesting of restricted stock on March 8, 2023, which restricted stock was granted on March 8, 2022.

2. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.55 to \$15.53, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2), footnote (4) and footnote (5).

3. Includes 22,800 shares of restricted stock that vest in three substantially equal installments on each of March 7, 2024, March 7, 2025 and March 7, 2026, subject to the Reporting Person's continued service to the Issuer through the applicable vesting date.

4. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$15.56 to \$16.53, inclusive.

5. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.60 to \$17.00, inclusive.

/s/ Creighton K. Early 03/13/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.