SEC Form 4

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FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) Willdan Group, Inc. [WLDN] CHEN MICAH Director 10% Owner Officer (give title Other (specify Х below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) General Counsel 03/11/2021 2401 E. KATELLA AVE SUITE 300 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person 92806 ANAHEIM CA Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
	(Month/Day/Year)									
			Code	v	Amount	(A) or (D)	Price	- Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/11/2021		S		118(1)	D	\$45.54 ⁽²⁾	12,631	D	
Common Stock	03/11/2021		S		165(1)	D	\$45.61 ⁽³⁾	12,466	D	
Common Stock	03/11/2021		S		223(1)	D	\$47.62 ⁽⁴⁾	12,243	D	
Common Stock	03/12/2021		S		206(1)	D	\$46.47 ⁽⁵⁾	12,037	D	
Common Stock	03/12/2021		S		119(1)	D	\$47.61(6)	11,918	D	
Common Stock	03/12/2021		S		113(1)	D	\$48.59 ⁽⁷⁾	11,805	D	
Common Stock	03/12/2021		S		65 ⁽¹⁾	D	\$49.62(8)	11,740	D	
Common Stock	03/12/2021		S		19(1)	D	\$50.55 ⁽⁹⁾	11,721	D	
Common Stock	03/15/2021		S		647(1)	D	\$46.37(10)	11,074	D	
Common Stock	03/15/2021		S		57 ⁽¹⁾	D	\$47.09(11)	11,017	D	
Common Stock	03/16/2021		S		24(1)	D	\$45.97	10,993	D	
Common Stock	03/19/2021		A		4,816 ⁽¹²⁾	A	\$0	15,809	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Execution Date, Transaction Expiration Date Amount of Derivative derivative Ownership of Indirect Date (Month/Day/Year) Security or Exercise if anv Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative (Month/Day/Year) (Instr. 5) Beneficially Owned Direct (D) (Instr. 3) 8) Securities Underlying Ownership Derivative Acquired or Indirect (Instr. 4) (A) or Disposed of (D) Security (Instr. 3 and 4) (I) (Instr. 4) Security Following Reported Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount Number Date Expiration Code v (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. Represents shares of Common Stock sold in the open market, the proceeds of which were used to pay the tax withholding obligations incurred upon the vesting of performance-based restricted stock units on March 10, 2021.

2. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.97 to \$45.95, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2) through footnote (11).

3. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.00 to \$46.99, inclusive.

4. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.05 to \$47.89, inclusive.

5. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.91 to \$46.87, inclusive.

6. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.05 to \$47.97, inclusive.

7. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.12 to \$49.00, inclusive. 8. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.23 to \$50.20, inclusive.

of the price reported in column 4 is the weighted average price. These shares were sold in industry to the state of the st

9. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.28 to \$50.76, inclusive.

10. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.84 to \$46.67, inclusive.

11. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.84 to \$47.80, inclusive.

12. Represents performance-based restricted stock units previously granted to the Reporting Person by the Issuer on March 6, 2018. The performance conditions applicable to the award were determined to have been satisfied by the Issuer's Compensation Committee on March 19, 2021, resulting in the immediate vesting of the restricted stock units as to 4,816 shares of Common Stock.

<u>/s/ Stacy McLaughlin,</u>

03/23/2021

Attorney-in-fact for Micah

<u>Chen</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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