SEC Form 4
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## FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	hou	rs per response:	0.5	
1. Name and Addre <u>Procell Adam</u>		g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol Willdan Group, Inc. [ WLDN ]		ationship of Report k all applicable) Director Officer (give title	, 10% C	
(Last) (First) (Middle) 2401 EAST KATELLA AVENUE SUITE 300		( )	3. Date of Earliest Transaction (Month/Day/Year) 03/11/2021		below) Chief Str	belo ategy Officer	,
(Street) ANAHEIM	CA	92806	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Gro Form filed by O Form filed by M Person	ne Reporting P	erson
(City)	(State)	(Zin)		1			

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Non Derivative debanace Abquirea, Disposed oi, of Denenolary owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code (Instr. 8)4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar		d (A) or r. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/11/2021		S		52 <sup>(1)</sup>	D	<b>\$</b> 45.54 <sup>(2)</sup>	19,733 <sup>(3)</sup>	D	
Common Stock	03/11/2021		S		74 <sup>(1)</sup>	D	<b>\$45.97</b> <sup>(4)</sup>	19,659 <sup>(3)</sup>	D	
Common Stock	03/11/2021		S		<b>99</b> <sup>(1)</sup>	D	\$47.62(5)	19,560 <sup>(3)</sup>	D	
Common Stock	03/12/2021		S		<b>9</b> 2 <sup>(1)</sup>	D	\$46.47(6)	19,468 <sup>(3)</sup>	D	
Common Stock	03/12/2021		S		<b>53</b> <sup>(1)</sup>	D	\$47.61 <sup>(7)</sup>	19,415 <sup>(3)</sup>	D	
Common Stock	03/12/2021		S		<b>51</b> <sup>(1)</sup>	D	\$48.59 <sup>(8)</sup>	19,364 <sup>(3)</sup>	D	
Common Stock	03/12/2021		S		<b>29</b> <sup>(1)</sup>	D	\$49.62 <sup>(9)</sup>	19,335 <sup>(3)</sup>	D	
Common Stock	03/12/2021		S		<b>8</b> <sup>(1)</sup>	D	\$50.55 <sup>(10)</sup>	19,327 <sup>(3)</sup>	D	
Common Stock	03/15/2021		S		289(1)	D	\$46.37(11)	19,038 <sup>(3)</sup>	D	
Common Stock	03/15/2021		S		25 <sup>(1)</sup>	D	\$47.09 <sup>(12)</sup>	19,013 <sup>(3)</sup>	D	
Common Stock	03/16/2021		S		11(1)	D	\$45.97	19,002 <sup>(3)</sup>	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents shares of Common Stock sold in the open market, the proceeds of which were used to pay the tax withholding obligations incurred upon the vesting of performance-based restricted stock units on March 10, 2021.

2. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.97 to \$45.95, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2) and footnotes (4) through (12).

3. Includes 15,000 shares of restricted stock that vest in three equal installments on each of June 10, 2021, June 10, 2022 and June 10, 2023, subject to the Reporting Person's continued service to the Issuer through the applicable vesting date.

4. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.00 to \$46.99, inclusive.

5. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.05 to \$47.89, inclusive.

6. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.91 to \$46.87, inclusive.

7. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.05 to \$47.97, inclusive.

8. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.12 to \$49.00, inclusive.

9. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.23 to \$50.20, inclusive.

10. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.28 to \$50.76, inclusive.

11. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.84 to \$46.67, inclusive.

12. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.84 to \$47.80, inclusive.

<u>/s/ Stacy McLaughlin,</u> <u>Attorney-in-fact for Adam C.</u> 03/23/2021

## <u>Procell</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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