SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b	ay continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							
	,		or Section 30(h) of the Investment Company Act of 1940							
1. Name and Add <u>CHEN MIC</u>		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>Willdan Group, Inc.</u> [WLDN]	(Check all applica Director	,		er			
(Last) 2401 E. KATE SUITE 300	(First) ELLA AVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/19/2020	below)	General Cou	below) nsel				
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Jo Line)	int/Group Filino	g (Check Appli	cable			
(Street) ANAHEIM	CA	92806		X Form file	d by One Repo d by More thar	orting Person n One Reportin	ıg			
(City)	(State)	(Zip)								
		Table I - Non-De	erivative Securities Acquired, Disposed of, or Be	neficially Owned						

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/19/2020		S		3,758(1)	D	\$23.52 ⁽²⁾	15,318	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares of Common Stock sold in the open market, the proceeds of which were used to pay the tax withholding obligations incurred upon the vesting of performance-based restricted stock units on June 10, 2020.

2. The price reported in Column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$23.50 to \$23.72, inclusive. The reporting person undertakes to provide to Willdan Group, Inc., any security holder of Willdan Group, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

/s/ Stacy McLaughlin,

Attorney-in-fact for Micah 06/22/2020 Chen

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.