SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				-					
1. Name and Address of Reporting Person [*] Brisbin Thomas Donald			2. Issuer Name and Ticker or Trading Symbol Willdan Group, Inc. [WLDN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
DIISUII TIIOIIIas Dollaid			-		Director	10% Owner			
(Last)	Last) (First) (Middle)		2 Data of Earliest Transaction (Manth/Day/Mast)	X	Officer (give title X below)	Other (specify below)			
		()	3. Date of Earliest Transaction (Month/Day/Year) 03/09/2021	Chie	ef Executive Officer /	Chairman of the			
2401 EAST KATELLA AVENUE			03/03/2021						
SUITE 300				Board					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filin	g (Check Applicable			
ANAHEIM	CA	92806		X	Form filed by One Rep	orting Person			
					Form filed by More tha	n One Reporting			
(City)	(State)	(Zip)			Person	_			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	03/09/2021		Α		25,000 ⁽¹⁾	Α	\$ <mark>0</mark>	297,555 ⁽²⁾	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares of restricted stock awarded to the Reporting Person by the Issuer on March 9, 2021, which vests in four equal installments on each of March 9, 2022, March 9, 2023, March 9, 2024 and March 9, 2025, subject to the Reporting Person's continued service to the Issuer through the applicable vesting date.

2. Includes an aggregate of 843 shares of Common Stock purchased under the Amended and Restated Willdan Group, Inc. 2006 Employee Stock Purchase Plan, including 587 shares on June 30, 2020 and 256 shares on December 31, 2020.

/s/ Stacy McLaughlin

Attorney-in-Fact for Thomas 03/11/2021

D. Brisbin

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.